



Trusted Insight for Independent Oversight

## 2025 Fund Governance & Regulatory Insights Conference

Thursday, March 6

8:00 – 8:45	Registration and Breakfast	<b>Ballroom Foyer</b>
8:45 – 9:00	<b>Welcome Remarks</b> Carolyn McPhillips, MFDF	<b>Ballroom</b>
9:00 – 10:00	<b>Innovations in ETFs</b> Danielle Rutsky, Nasdaq (Moderator) Ward Bortz, Angel Oak Capital Advisors Martin Dean, Ultimus, Northern Lights Compliance Services Jeanne LaPorta, SSGA Scott Weiner, Oxbridge ETF Academy	<b>Ballroom</b>
10:00 – 10:30	Break	<b>Ballroom Foyer</b>
10:30 – 11:30	<b>The Evolution of the Board-CCO Relationship</b> Dianne Descoteaux, MFDF (Moderator) Hope Brown, Calvert/Morgan Stanley Pete Driscoll, PwC DJ Gorman, Jackson National Asset Management	<b>Ballroom</b>
11:30 – 12:00	<b>Fireside Chat with SEC Director of Division of Investment Management</b> Carolyn McPhillips, MFDF Natasha Vij Greiner, US Securities and Exchange Commission	<b>Ballroom</b>
12:00 – 1:15	Lunch	<b>Seasons Restaurant</b>
1:15 – 2:45	<b>Leading Through Influence: Building Collaboration and Culture</b> Jason Sudy, ImprovEdge	<b>Ballroom</b>
2:45 – 3:15	Break	<b>Ballroom Foyer</b>

## March 6 (continued)

3:15 – 4:15	<p><b>Building Effective Board Relationship with Management</b>          Andy Simonoff, (Moderator)          Anthony Ghoston, Diamond Hill Funds          Rob Helm, Fidelity          Rick Salomon, Tweedy Brown Company LLC</p>	<b>Ballroom</b>
4:15 – 5:15	<p><b>Board Effectiveness Breakout Options</b></p> <ol style="list-style-type: none"> <li data-bbox="537 716 915 789">1. <b>Board Materials</b> Julien Bourgeois, Dechert</li> <li data-bbox="537 800 1008 915">2. <b>Effective Board Oversight of Regulatory Changes</b> Lance King, Seward &amp; Kissel Robert Kurucza, Seward &amp; Kissel</li> <li data-bbox="537 926 976 1052">3. <b>Optimizing Interviewing to Build an Effective Board</b> Julie Wolf, RHR International Matt Betts, RHR International</li> </ol>	<p><b>Algonquin</b></p> <p><b>Douglass</b></p> <p><b>Smithson</b></p>
5:15 – 6:15	<p><b>Optional session – Board Journeys</b>  <i>An optional session open to current board directors and board candidates focused on the path to the boardroom. Highlights from MFDF's recent Board Recruitment Practices Survey results will be shared during this session.</i></p>	<b>Algonquin</b>
6:30– 8:30	<p><b>Welcome Reception (Dinner is included)</b>  <i>Attendees are welcome to bring guests to the reception.</i></p>	<b>Seasons Restaurant</b>

## Friday, March 7

7:00 – 8:00	<b>Directors Only Breakfast</b>	<b>Douglass</b>
7:00 – 8:00	General Breakfast	<b>Ballroom Foyer</b>
8:00 – 8:50	<b>Washington Update</b> Sara Vargo, MFDF (Moderator) Christopher Cafiero, JP Morgan Chase Sean Dillon, Office of Rep. Bill Huizenga Mahlet Makonnen, Williams & Jensen PLLC	<b>Ballroom</b>
9:00 – 10:00	<b>Breakout Sessions</b>	
	<b>1. Audit Committee Chair 101</b> David Roeber, Stradley Ronon John Braun, Cohen & Co.	<b>Algonquin</b>
	<b>2. Digital Assets</b> Jeremy Senderowicz, Goodwin LLP	<b>Douglass</b>
	<b>3. Oversight of Alternative Product Development</b> Anne Kritzmire, Thornburg Income Builder Opportunity Trust Derek Mullins, Pine Advisor Solutions	<b>Smithson</b>
10:00 - 10:20	Break	<b>Ballroom Foyer</b>
10:20 – 11:00	<b>Changing Dynamics in a Competitive Industry</b> Jeff Stakel, Casey Quirk by Deloitte	<b>Ballroom</b>
11:00 – 12:00	<b>From Burden to Advantage: How to Leverage AI in Asset Management</b> Lloyd Wennlund, Calamos Investment Trust (Moderator) Phil Beattie, Daizy AI	<b>Ballroom</b>
12:00	<b>Ask Anything - Brown Bag Lunch with Daizy AI</b> Phil Beattie, Daizy AI **To-Go lunch bags will be available for attendees who cannot stay for the extended Q&A session with Daizy AI.**	<b>Ballroom/Foyer</b>