



# MUTUAL FUND DIRECTORS FORUM

*The FORUM for FUND INDEPENDENT DIRECTORS*

## 2013 Policy Conference

**Wednesday, April 10**

8:00 - 8:45	<b><i>Registration &amp; Continental Breakfast</i></b>	Dolley Madison Foyer
8:45 - 10:00	<b><i>Current Topics in Valuation</i></b> Moderated by Robert Helm, Dechert LLP Lori Martin, Wilmer Hale LLP Garry Moody, AllianceBernstein Funds Cary Stier, Deloitte LLP	Dolley Madison
10:00 - 10:30	Break	
10:30 - 11:15	<b><i>Debate on Morningstar Stewardship Grades: Do They Predict Fund Performance?</i></b> Moderated by David Smith, Mutual Fund Directors Forum Laura Lutton, Morningstar, Inc. Matthew Morey, Lubin School of Business, Pace University	Dolley Madison
11:15 - 12:30	<b><i>Working with the SEC</i></b> Moderated by Frank Tarantino, MFS Funds Michael Garrity, Office of Compliance, Inspections and Examinations, SEC Robert Kaplan, Debevoise & Plimpton, LLP Julie Riewe, Asset Management Unit, Division of Enforcement, SEC	Dolley Madison
12:30 - 2:00	<b><i>Lunch Address: Forces Shaping the Mutual Fund Industry: Investor Preferences, Fund Innovations, and Trends in Distribution, Fees, and Financial Advisor Compensations</i></b> Avi Nachmany, Strategic Insight	Montpelier
2:00 - 3:15	<b><i>Securities Lending: Assessing the Value to Shareholders</i></b> Moderated by Robert Wittie, K&L Gates LLP Margaret Eisen, Columbia Acorn Funds William Pridmore, William F. Pridmore, LTD Steven Topetzes, K&L Gates LLP	Dolley Madison
3:15 - 3:30	Break	

## Wednesday, April 10

3:30 - 4:45	<b>Breakout Sessions</b>	
	<i>Board Culture</i>	Adams
	Ashok Bakhru, Goldman Sachs Funds Ndensirya Bregasi, K&L Gates	
	<i>Models of Proxy Voting</i>	Hamilton
	Donna Anderson, CFA, T. Rowe Price Associates, Inc. Michelle Mesack, Mutual Fund Directors Forum	
	<i>Whether, and How, to Use Consultants</i>	Constitution
	Marco Adelfio, Goodwin Procter LLP Geoff Bobroff, Bobroff Consulting	
6:00	<b>Reception</b>	Dolley Madison Foyer
	<i>Sponsored by Strategic Insight</i>	
7:00	<b>Dinner</b>	Montpelier
	Featured Speaker: Representative Scott Garrett Chair of the House Financial Services Subcommittee on Capital Markets and Government Sponsored Enterprises U.S. House of Representatives	

## Thursday, April 11

8:15 - 9:30	<b>Fund Directors' Breakfast*</b>	Montpelier
	<b>Address: Conversation on Board Self-Assessments</b> Moderated by Meyrick Payne, Management Practice, Inc.	
	<i>*Participants who are not fund directors will receive a voucher for breakfast at The Madison.</i>	
9:30 - 10:00	<b>Featured Speaker</b>	Dolley Madison
	David Grim, Division of Investment Management U.S. Securities & Exchange Commission	
10:00 - 10:30	<b>Keynote Address</b>	Dolley Madison
	SEC Commissioner Troy Paredes	
10:30 - 10:45	Break	
10:45 - 12:00	<b>Funds and Data Security</b>	Dolley Madison
	Moderated by David Harris, Dechert LLP Nicholas D'Angelo, PwC William H. Stewart, Booz Allen Hamilton William Thornhill, TIAA-CREF	
12:00	Lunch	