



2011 Policy Conference

April 28 - 29

Mandarin Oriental Washington DC

1330 Maryland Avenue SW

“Strong reasons make strong actions” (King John)

Thursday, April 28

8:00 - 10:00	Registration	Oriental Foyer
10:00 - 10:15	Opening Remarks	Oriental Ballroom
10:15 - 11:15	Complex Securities Issues Facing Fund Boards “All that glitters is not gold” (Merchant of Venice) Harvey Goldschmid, Columbia University (moderator) Amy Doberman, ProFunds Susan Ervin, US Securities & Exchange Commission Anita Nagler, State Farm Funds Ronald Parker, PIMCO Funds	Oriental Ballroom
11:15 - 11:30	Break	Oriental Foyer
11:30 - 12:30	Breakout Sessions The Board’s Role in Valuation “Let every eye negotiate for itself” (Much Ado About Nothing) Chad Gazzillo, KPMG Sean McKee, KPMG Board Approaches to Overseeing Performance “Things done well and with care, exempt themselves from fear” (Henry VIII) William Chapman, Managers Funds Jeffrey Puretz, Dechert	Hillwood Garden II
12:30 - 1:45	Lunch Conversation with Eileen Rominger Director of the Division of Investment Management, US Securities & Exchange Commission	Oriental Ballroom A



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2:00 - 3:00	<i>Fund Securities Lending in Today's Market</i> "What's past is prologue" (The Tempest) Robert Wittie, K&L Gates (moderator) Peter Bassler, eSec Lending Kathleen Dennis, Morgan Stanley Funds Judd Symon, Putnam Funds	Oriental Ballroom
3:00 - 3:30	Break	Oriental Foyer
3:30 - 5:00	<i>Breakout Sessions</i> Navigating Changes in Board Composition "The long and the short of it" (The Merry Wives of Windsor) Patti McGill Peterson, John Hancock Funds Virginia Stringer, Nuveen Funds Board Approaches to Overseeing Performance "Things done well and with care, exempt themselves from fear" (Henry VIII) William Chapman, Managers Funds Jeffrey Puretz, Dechert Seeking Tax Reimbursement in the EU "Though this may be madness, yet there is method in it" (Hamlet) Kit Dickson, KPMG Deanne Flores, KPMG Gohar Khan, KPMG	Hillwood Hirshorn Oriental Ballroom A
6:00 - 7:00	Reception	Oriental Foyer
7:00	<i>Dinner Speaker - Peter Bresnan</i> Partner, Simpson Thacher & Bartlett	Oriental Ballroom A



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Friday, April 29

8:00 - 9:00	Breakfast	Oriental Ballroom A
9:00 - 10:00	<i>Keynote Address - Mary Schapiro</i> Chairman, US Securities & Exchange Commission	Oriental Ballroom
10:00 - 10:30	Break	Oriental Foyer
10:30 - 11:30	<i>Board Oversight of Transfer Agent Fees</i> Modest doubt is called the beacon of the wise" (Troilus and Cressida) Robert Helm, Dechert (moderator) Vanessa Chang, American Funds Jesse Cole, Goldman Sachs Basil Fox, Franklin Templeton Investments	Oriental Ballroom
11:30	Lunch	Hillwood